

01-08-02

A

Utility Patent Application Transmittal

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| | |
|------------------------|---|
| Attorney Docket Number | 0651408 DIV |
| First Named Inventor | Glenn K. Fu et al. |
| Title | Construction of Uni-Directionally Cloned cDNA Libraries From Messenger RNA for Improved 3' end DNA Sequencing |
| Express Mail Label No. | EL 923 483 399 US |

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Applicant claims small entity status.
See 37 CFR 1.27.

3. Specification
(preferred arrangement set forth below)

Total Pages

20

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to sequence listing, a table, or a computer program listing appendix

- Background of the Invention

- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claims(s)

- Abstract of the Disclosure

4. Drawing(s) (35 U.S.C. 113)

Total Sheets

1

5. Oath or Declaration

Total Pages

4

- a. Newly executed (original or copy)
- b. Copy from a prior application (37 CFR 1.63(d))

i. DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

6. Application Data Sheet, See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

- a. Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
 - i. CD-Rom or CD-R (2 copies); or
 - ii. Paper
- c. Statements verifying identity of above copies (Submission of Sequence From Prior Application)

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & documents(s))

10. 37 CFR 3.73(b) Statement (when there is an assignee) Power of Attorney

11. English Translation Document (if applicable)

12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations

13. Preliminary Amendment (4 pages)

14. Return Receipt Postcard (MPEP 503) (should be specifically itemized)

15. Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent

17. Other: Associate Power of Attorney (1 page)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No: 09/549,770 filed April 14, 2000
Prior Application Information: Examiner E. Whisenant Group Art Unit 1655

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

Fee Calculation**CLAIMS AS FILED**

| For | # Filed | # Allowed | # Extra | Rate | Fee |
|---------------|---------|-----------|---------|------|--------|
| Total Claims | 10 | 20 | 0 | X | \$0.00 |
| Indep. Claims | 2 | 3 | 0 | X | \$0.00 |

Multiple Dependent Claims (check if applicable)

TOTAL CLAIM FEES

BASIC FILING FEE \$740.00

| | | |
|-----------------------------|-------|----------|
| OTHER FEE (specify purpose) | TOTAL | \$740.00 |
|-----------------------------|-------|----------|

The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore. A duplicate copy of this sheet is enclosed.

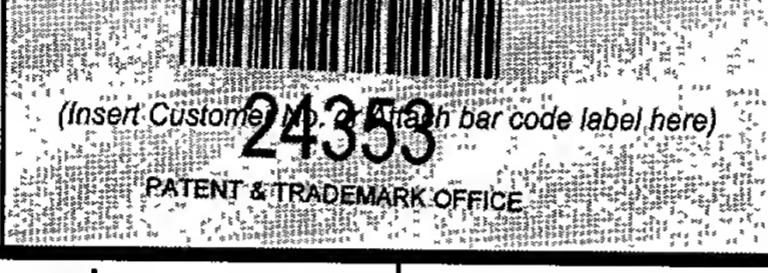
Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)

19. Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

Warning

An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

19. CORRESPONDENCE ADDRESS

| | | | | |
|---|--|---|--|---------------------------------|
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label | |  (Insert Customer No. or attach bar code label here) 24353 PATENT & TRADEMARK OFFICE | or <input type="checkbox"/> Correspondence Address below | |
| Name (Print/Type) | Carol L. Francis | | Registration No. | 36,513 |
| Signature |  | | Date | 01-04-2002 |
| Firm Name | Bozicevic, Field & Francis LLP | | Address | 200 Middlefield Road, Suite 200 |
| City | Menlo Park | State | California | zip 94025 |
| Telephone - Direct Dial | 650-833-7713 | | Fax | 650-327-3231 |

**NOTIFICATION OF PRIOR SEQUENCE
LISTING**

| | |
|----------------------|--------------------|
| Application Number | Unassigned |
| Confirmation Number | N/A |
| Filing Date | Herewith |
| First Named Inventor | Glenn K. Fu et al. |
| Examiner | E. Whisenant |
| Group Art | 1643 |
| Attorney Docket No. | 06514089DIV |

ADDRESS TO: Assistant Commissioner for Patents
Washington, D.C. 20231

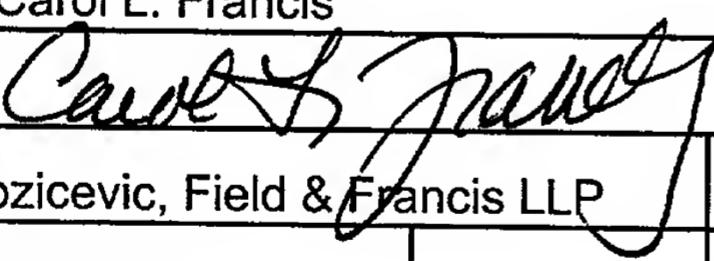
Sir:

The above-identified patent application contains sequences of nucleic acid and polypeptides. A sequence listing was prepared for parent application, Serial No. 09/549,770, filed April 14, 2000, in paper and computer-readable format. The sequences in the present application are identical to those presented in the parent application. Therefore, please transfer to this application , in accordance with 37 CFR 1.821(e), the computer readable copy from applicants' other application.

Applicants respectfully submit that the present patent application is now in compliance with 37 CFR 1.821 - 1.825.

The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore.

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED

| | | | | |
|-------------------------|--|-------|------------------|---------------------------------|
| Name (Print/Type) | Carol L. Francis | | Registration No. | 36,513 |
| Signature |  | | Date | 12-10-2001 |
| Firm Name | Bozicevic, Field & Francis LLP | | Address | 200 Middlefield Road, Suite 200 |
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| EL 923 483 460 US | | | | |